

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. <b>011392A</b>	Total Pages	31281 U.S. PTO 10/7/16 430
Check Box, if applicable [ ] Duplicate		First Named Inventor or Application Identifier  <b>SUGAWARA et al.</b>		
		Express Mail Label No.		
		PAGE 1 OF 3		

  

APPLICATION ELEMENTS FOR:  <b>PROCESS FOR PRODUCING OPTICALLY ACTIVE AMINO ACID DERIVATIVES</b>	ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. ☒ Fee Transmittal Form (Incorporated within this form)  
 (Submit an original and a duplicate for fee processing)
  
2. ☒ Specification Total Pages [113]
  
3. ☒ Drawing(s) (35 USC 113) Total Sheets [1]
  
4. ☒ Oath or Declaration Total Pages [3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
 Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  
5. ☒ Incorporation by reference (usable if box 4b is checked).  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
  
6. ☐ Microfiche Computer Program (Appendix)
  
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

  

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment to **KANEKA CORPORATION, Osaka-shi, Japan** in the prior Application Serial No. **09/926,346**, filed on **February 5, 2002** has been recorded on **February 5, 2002** at Reel **012545** Frame **0809**.  
**Please publish the assignee data with the application.**
  
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)      ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

011392A

First Named Inventor or Application Identifier

SUGAWARA et al.

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. The certified copies of priority Japanese application no. P2000-039415 filed on February 17, 2000 and Japanese application no. P2000-334391 is claimed under 35 USC 119. The certified copy/copies has/have been filed in prior application Serial No. 09/926,346. (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_
- a. ☒ Please amend the specification by inserting after the title: --This application is a divisional of application Serial No. 09/926,346 filed February 5, 2002, which is a §371 of international application No. PCT/JP01/01132, filed February 16, 2001. --
- b. ☒ Cancel in this application original claims 26-34 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$770.00
Total Claims	119 - 20	99	x \$18.00	1,782.00
Independent Claims	10 - 3	7	x \$86.00	602.00
Multiple Dependent Claims			\$290.00	290.00
Basic Filing Fee				\$770.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$3,444.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

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☒ A check in the amount of \$3,444.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **50-2866** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **50-2866**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:

\*38834\*

38834

PATENT TRADEMARK OFFICE

SUBMITTED BY:

Stephen G. Adrian

Reg. No. 32,878

Signature

Date: November 20, 2003

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CHANGE OF  
CORRESPONDENCE ADDRESS  
Application**Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450.

Application Number	divisional of 09/926,346
Filing Date	November 20, 2003
First Named Inventor	M. Sugawara
Art Unit	to be assigned
Examiner Name	to be assigned
Attorney Docket Number	011392A

Please change the Correspondence Address for the above-identified patent application to:

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I am the:

☐ Applicant/Inventor☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).☒ Attorney or Agent of record. Registration Number S. Adrian Reg. No. 32,878☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_Typed or Printed  
Name Stephen G. AdrianSignature Date November 20, 2003Telephone 202-822-1100

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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